

AXIS/CO/CS/831/2019-20

8<sup>th</sup> January, 2020

Shri Kautuk Upadhyay  
The Chief Manager,  
Listing & Compliance Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor  
Plot No. C/1, "G" Block  
Bandra-Kurla Complex  
Bandra (E), Mumbai – 400 051

Shri Khushro Bulsara  
The Senior General Manager –  
Listing Department  
BSE Limited  
1<sup>st</sup> Floor, New Trading Ring, Rotunda Building  
P. J. Towers, Dalal Street  
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

**SUB: COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27(2) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE QUARTER ENDED 31<sup>ST</sup> DECEMBER, 2019 - AXIS BANK LIMITED**

We are pleased to enclose a copy of the Compliance Report on Corporate Governance for the quarter ended 31<sup>st</sup> December, 2019, pursuant to regulation 27(2) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

You are requested to take the above on record.

Yours faithfully,  
**For Axis Bank Limited**

  
**Girish V. Koliyote**  
**Company Secretary**

Encl.: As above



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### General information about company

Scrip code	532215
NSE Symbol	AXISBANK
MSEI Symbol	notlisted
ISIN	INE238A01034
Name of the entity	AXIS BANK LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Quarterly
Date of Report	31-12-2019
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

1. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	This (No/ Yes)	Name of the Director	PAN	DIR	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Term of director (in month)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DPO
1	Mr	Rajesh Malhotra	AEZMA3435D	00117692	Non-Executive - Independent Director	Chairperson		24-07-1951	No		27-10-2015			30	3	3	0			
2	Mr	Amitabh Chaudhary	ABVHC486D	00531130	Executive Director	Not Applicable	CEO-MD	02-07-1964	No		09-01-2009				1	0	0			
3	Mr	Rishi Bhagat	ACAPR0459C	02860574	Non-Executive - Independent Director	Not Applicable		03-04-1964	No		16-01-2013	01-04-2016		3	1	1	0			
4	Mr	S. Uthayachandran	ABDVS247J	00205428	Non-Executive - Independent Director	Not Applicable		30-04-1954	No		11-03-2005			18	2	1	5			
5	Mr	VijayM Bhargava	ADNFB1108H	07937805	Non-Executive - Independent Director	Not Applicable		26-01-1964	No		10-01-2002			47	2	1	0			
6	Mr	B. Babu Rao	ACKN617YGF	00435789	Non-Executive - Non-voting Director	Not Applicable		05-12-1958	No		19-01-2016	26-07-2017			1	1	2			
7	Mr	Deepam Prabhakar	FFZDZ098F	07895447	Non-Executive - Non-voting Director	Not Applicable		14-01-1956	No		10-12-2017				1	0	0	255		
8	Mr	Girish Purushotham	AGP8R0X73C	02177726	Non-Executive - Independent Director	Not Applicable		20-03-1968	No		09-11-2016			13	2	2	2			
9	Mr	Rajiv Anand	NACHA3967L	02641973	Executive Director	Not Applicable		03-01-1958	No		04-08-2016	04-08-2016			1	0	1			
10	Mr	Rakesh Dahiya	ABW0R818D	07504488	Executive Director	Not Applicable		22-08-1967	No		04-08-2016	04-08-2016			1	0	2			
11	Mr	Rishy Menon	ADPUN5725C	09117084	Executive Director	Not Applicable		17-10-1965	No		01-08-2018				1	0	0			

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Add Notes

For this quarter kindly state the following points:  
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.  
 2. Date of Appointment can be any day upto December 31, 2016.  
 3. Date of Cessation must be for the current quarter only, i.e. October 1,2016 to December 31,2016

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02172725	Girish Paranjpe	Non-Executive - Independent Director	Chairperson	30-01-2019		
2	02255828	S. Vishwanathan	Non-Executive - Independent Director	Member	11-02-2015		
3	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	19-01-2016		
4	00425793	B. Babu Rao	Non-Executive - Nominee Director	Member	17-10-2017		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02968574	Roohi Bhagat	Non-Executive - Independent Director	Chairperson	24-07-2015		
2	07995542	Stephen Pagliuca	Non-Executive - Nominee Director	Member	20-10-2018		
3	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	12-10-2016		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson				Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00425793	B. Babu Rao	Non-Executive - Nominee Director	Chairperson	26-07-2017		
2	07508488	Rajesh Doshiya	Executive Director	Member	20-06-2018		
3	02255828	S. Vishwanathan	Non-Executive - Independent Director	Member	20-10-2018		
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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07967868	Ketaki Bhagwat	Non-Executive - Independent Director	Member	19-01-2016		
2	01958574	Rohit Bhagat	Non-Executive - Independent Director	Member	21-08-2014		
3	00531120	Amitabh Chaudhry	Executive Director	Member	01-01-2019		
4	02541753	Rajiv Anand	Executive Director	Member	30-01-2019		
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Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00117692	Rakesh Makhija	Non-Executive - Independent Director	Chairperson	16-09-2019		
2	07508488	Rajesh Dahya	Executive Director	Member	20-06-2018		
3	02541753	Rajiv Anand	Executive Director	Member	20-06-2018		
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Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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## Annexure 1

## III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	20-07-2019			Yes		
2	30-07-2019			Yes		
3	21-10-2019	82		Yes	12	6

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\* to be filled in only for the current quarter meetings



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Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			<input type="button" value="Add Notes"/>
Disclosure of notes of material transaction with related party			<input type="button" value="Add Notes"/>

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Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d: Risk management committee (applicable to the top 500 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<b>Add Notes</b>

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Sr	Subject	Compliance status
1	Name of signatory	Girish V. Koliyote
2	Designation	Company Secretary

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Name of signatory	Girish V. Koliyote
Designation of person	Company Secretary
Place	Mumbai
Date	07-01-2020

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Signatory Details	
Name of signatory	Girish V. Koliyote
Designation of person	Company Secretary
Place	Mumbai
Date	08-01-2020

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