

AXIS/CO/CS/330/2021-22

October 8, 2021

The Chief Manager,
Listing & Compliance Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, "G" Block
Bandra-Kurla Complex
Bandra (E), Mumbai – 400 051

The Senior General Manager,
Listing Department
BSE Limited
1st Floor, New Trading Ring, Rotunda Building
P. J. Towers, Dalal Street
Fort, Mumbai – 400 001

NSE Symbol: AXISBANK

BSE Scrip Code : 532215

Dear Sirs,

SUB: COMPLIANCE REPORT ON CORPORATE GOVERNANCE UNDER REGULATION 27(2) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 ("LISTING REGULATIONS") FOR THE QUARTER ENDED SEPTEMBER 30, 2021 - AXIS BANK LIMITED

We are pleased to enclose a copy of the Compliance Report on Corporate Governance for the quarter ended September 30, 2021, pursuant to regulation 27(2) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations").

You are requested to take the above on record.

Yours faithfully,

For Axis Bank Limited

Girish V. Koliyote
Company Secretary

Encl.: As above

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of nature on composition of board of director engineers																				
Add Notice																				
Whether the Board entity has a Regular Chairperson																				
Yes																				
Whether Chairperson is related to CEO or CFO																				
Yes																				
Sr.	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution is passed (After Reg. 17(3A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (After Reg. 17(4) of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (After Reg. 17(4)(c) of Listing Regulations)	Number of membership in Audit/ Sub-committee/Convenors including this listed entity (After Regulation 24(2) of Listing Regulations)	No of post of Chairperson in Audit/ Sub-committee/Convenors held in listed entities including this listed entity (After Regulation 24(2) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
ANU	Source																			
1	Mr	Aravind Mishra	A27NAG83D	0317059	Non-Executive - Independent Director	Chairperson		04-07-1951	No		27-10-2011	27-10-2011		71	1	1				
2	Mr	Aravind Chaudhary	A89PZ498D	0881140	Executive Director		100-140	02-07-1964	No		08-01-2014	08-01-2014		08	0	0				
3	Mr	Sankaran Venkateshan	A29PZ475D	0210528	Non-Executive - Independent Director			30-04-1954	No		13-03-2015	13-03-2015		80	2	2				
4	Mr	Aravind Bhargava	A8818140D	0247068	Non-Executive - Independent Director			24-01-1964	No		08-01-2014	08-01-2014		68	1	1				
5	Mr	Sandeep Dandekar	0297989D	0299547	Non-Executive - Non-Independent Director			14-01-1955	No		08-14-2014	08-14-2014		61	1	1				SEI
6	Mr	Guth Paragjee	06CP9837C	0317275	Non-Executive - Independent Director			20-03-1959	No		03-11-2013	03-11-2013		35	2	2				
7	Mr	Chandrasekhar Chandrabhanu Sankaranarayanan	A89PZ607D	0481810	Non-Executive - Non-Independent Director			01-03-1961	No		04-02-2014	04-02-2014		1	1	1				
8	Mr	Aravind Sankar	A89PZ465D	0603842	Executive Director			31-08-1963	No		04-08-2014	04-08-2014		14	1	1				
9	Mr	Subramanian Srinivasan	A42P9773D	0713009	Non-Executive - Independent Director			29-05-1955	No		08-10-2010	08-10-2010		11	2	2				
10	Mr	Aravind Sankar	A42P9725D	0418614	Executive Director			12-01-1978	No		08-11-2010	08-11-2010		1	1	1				
11	Mr	Vasanthi Chandra	A2APV541D	0273509	Executive Director			27-03-1979	No		27-03-2011	27-03-2011		1	1	1				
12	Mr	Subramanyam Jayaraman Sankaranarayanan	A42P9741D	0611869	Non-Executive - Independent Director			29-08-1957	No		14-08-2014	14-08-2014		4	1	1				
13	Mr	Ravi Arora	A42P9697D	0464774	Executive Director			03-01-1967	No		04-08-2014	04-08-2014		1	1	1				
14	Mr	Aravind Sharma	A29PZ610D	0702889	Executive Director			22-08-1967	No		04-08-2014	04-08-2014		1	1	1				

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Add Notes

For this quarter kindly note the following points:
 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
 2. Date of Appointment can be any day upto September 30, 2021.
 3. Date of Cessation must be for the current quarter only, i.e. July 1, 2021 to September 30, 2021

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02172725	Girsh Paranjape	Non-Executive - Independent Director	Chairperson	30-01-2019		
2	06519869	Mahendra Dev Sanjeeva Suryadev	Non-Executive - Independent Director	Member	14-06-2021		
3	06453310	Tharavanat Chandrasekharan Susek	Non-Executive - Nominee Director	Member	14-06-2021		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00528252	Meena Ganesh	Non-Executive - Independent Director	Chairperson	25-08-2020		
2	00117692	Rakesh Makhija	Non-Executive - Independent Director	Member	12-10-2016		
3	02172725	Girsh Paranjape	Non-Executive - Independent Director	Member	25-08-2020		
4							
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02255828	Srinivasan Vishvanathan	Non-Executive - Independent Director	Chairperson	20-10-2018		
2	02230959	Vasantha Govindan	Non-Executive - Nominee Director	Member	16-03-2021		
3	07508488	Rajesh Dahiya	Executive Director	Member	12-10-2016		
4							
5							
6							
7							
8							
9							
10							

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07367868	Ketaki Bhagwati	Non-Executive - Independent Director	Chairperson	19-01-2016		
2	02172725	Girsh Paranjape	Non-Executive - Independent Director	Member	25-08-2020		
3	07130908	Padmanabhan Gopalaraman	Non-Executive - Independent Director	Member	14-12-2020		
4	00531120	Amitabh Chaudhry	Executive Director	Member	01-01-2019		
5							
6							
7							
8							
9							
10							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00117692	Rakesh Makhija	Non-Executive - Independent Director	Chairperson	16-09-2019		
2	00528252	Meena Ganesh	Non-Executive - Independent Director	Member	14-06-2021		
3	07508488	Rajesh Dahliya	Executive Director	Member	12-10-2016		
4	02541753	Rajiv Anand	Executive Director	Member	12-10-2016		
5							
6							
7							
8							
9							
10							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
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6						
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8						
9						
10						

Prev

Next

[Home](#)[Validate](#)**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
	Add	Delete				
1	27-04-2021			Yes	12	6
2	28-05-2021			Yes	12	6
3	14-06-2021			Yes	13	7
4	26-07-2021	41		Yes	13	7
5	15-09-2021	50		Yes	13	7
6	29-09-2021	13		Yes	13	7

[Prev](#)[Next](#)

* to be filled in only for the current quarter meetings

[Home](#)[Validate](#)

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory							Add Notes	
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (other than Independent Director)	No. of Independent Directors attending the meeting*
Add Delete								
1	Audit Committee	27-04-2021				Yes	3	3
2	Audit Committee	14-05-2021				Yes	3	3
3	Audit Committee	14-06-2021				Yes	3	3
4	Audit Committee	22-06-2021				Yes	3	2
5	Audit Committee	26-07-2021				Yes	3	2
6	Audit Committee	23-08-2021				Yes	3	2
7	Nomination and remuneration committee	23-04-2021				Yes	3	3
8	Nomination and remuneration committee	28-04-2021				Yes	3	3
9	Nomination and remuneration committee	20-05-2021				Yes	3	3
10	Nomination and remuneration committee	13-06-2021				Yes	3	3
11	Nomination and remuneration committee	23-07-2021				Yes	3	3
12	Nomination and remuneration committee	29-09-2021				Yes	3	3
13	Risk Management Committee	26-04-2021				Yes	4	3
14	Risk Management Committee	10-06-2021				Yes	4	3
15	Risk Management Committee	22-07-2021				Yes	4	3
16	Corporate Social Responsibility Committee	09-06-2021				Yes	4	1
17	Corporate Social Responsibility Committee	09-09-2021				Yes	4	1
18	Stakeholders Relationship Committee	20-04-2021				Yes	3	1

[Prev](#)[Next](#)

* to be filled in only for the current quarter meetings

Home

Validate

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			Add Notes
Disclosure of notes of material transaction with related party			Add Notes

Prev

Next

[Home](#)[Validate](#)

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

[Prev](#)[Next](#)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Girish V. Koliyote
2	Designation	Company Secretary and Compliance Officer

[Home](#)[Validate](#)**Annexure III****III. Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				Add Notes

[Prev](#)[Next](#)**Annexure III**

1	Name of signatory	Girish V. Koliyote
2	Designation	Company Secretary and Compliance Officer

[Home](#)[Validate](#)

Additional Half yearly Disclosure			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	Add Notes		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
(D) Additional Information			Add Notes
II. Affirmations			
Affirmations	Compliance Status	Company Remarks	
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s),		Add Notes	
Name			
Designation			
Place			
Date			

[Prev](#)[Next](#)

[Home](#)[Validate](#)

Signatory Details

Name of signatory	Girish V. Koliyote
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	08-10-2021

[Prev](#)