

## Complaints - NSDL

## **Data for September 2023**

| Sr. No. | Received<br>from        | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved* | Pending at the end of the month**    |                                      | Average<br>Resolution |
|---------|-------------------------|---|------------------------------------|------------------|-----------|--------------------------------------|--------------------------------------|-----------------------|
|         |                         |   |                                    |                  |           | Pending for<br>less than<br>3 months | Pending for<br>more than<br>3 months | time^<br>(in days)    |
| 1       | 2                       | 3   | 4                                  | 5                | 6         | 7                                    |                                      | 8                     |
| 1       | Directly from Investors | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0                     |
| 2       | SEBI (SCORES)           | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0                     |
| 3       | Depositories            | 0   | 1                                  | 0                | 1         | 0                                    | 0                                    | 12                    |
| 4       | Other Sources (if any)  | 0   | 0                                  | 0                | 0         | 0                                    | 0                                    | 0                     |
|         | Grand Total             | 0   | 1                                  | 0                | 1         | 0                                    | 0                                    | 12                    |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints

| Sr. No. | Month          | Carried forward<br>from previous<br>month | Received | Resolved* | Pending** |
|---------|----------------|---|----------|-----------|-----------|
| 1       | 2              | 3   | 4        | 5         | 6         |
| 1       | April-2023     | 0   | 3        | 2         | 1         |
| 2       | May-2023       | 1   | 1        | 2         | 0         |
| 3       | June-2023      | 0   | 3        | 2         | 1         |
| 4       | July-2023      | 1   | 3        | 4         | 0         |
| 5       | August-2023    | 0   | 4        | 4         | 0         |
| 6       | September-2023 | 0   | 1        | 1         | 0         |
|         | Grand Total    | 2   | 15       | 15        | 2         |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

## Trend of annual disposal of complaints

| Sr. No. | Month       | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---------|-------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1       | 2017-18     | 4                                  | 366                      | 353                      | 17                             |
| 2       | 2018-19     | 17                                 | 836                      | 846                      | 7                              |
| 3       | 2019-20     | 7                                  | 390                      | 397                      | 0                              |
| 4       | 2020-21     | 0                                  | 32                       | 32                       | 0                              |
| 5       | 2021-22     | 7                                  | 37                       | 37                       | 7                              |
| 6       | 2022-23     | 3                                  | 18                       | 18                       | 3                              |
| 7       | 2023-24     | 2                                  | 14                       | 14                       | 2                              |
|         | Grand Total | 40                                 | 1694                     | 1698                     | 36                             |

<sup>\*\*</sup> Inclusive of complaints pending as on the last day of the month.