

# KOTAK MUTUAL FUND

## Q2 FY 2022-23 Brokerage Structure

Class	SEBI Scheme categorization	Scheme	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
						B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
Equity	Equity	Kotak Manufacture In India Fund	Lump sum	1 to MAX	FIXED	1	1.65	1.65	1.6	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1	1.65	1.65	1.6	1.1	1.1	NO	Full	100	365
Equity	Equity	Kotak ESG Opportunities Fund	Lump sum	1 to MAX	FIXED	1.5	1.55	1.55	1.45	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.5	1.55	1.55	1.45	1.1	1.1	NO	Full	100	365
Equity	Equity	Kotak Focused Equity Fund	Lump sum	1 to MAX	FIXED	1.5	1.55	1.55	1.2	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.5	1.55	1.55	1.2	1.1	1.1	NO	Full	100	365
Equity	Equity	Kotak Multicap Fund	Lump sum	1 to MAX	FIXED	0.9	1.45	1.45	1.45	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.9	1.45	1.45	1.45	1.1	1.1	NO	Full	100	365
Equity	ELSS	Kotak Tax Saver Fund	Lump sum	1 to MAX	FIXED	1.6	1.45	1.45	1.35	1.25	1.25	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.6	1.45	1.45	1.35	1.25	1.25	NO	Full	100	365
Equity	Equity Savings	Kotak Equity Savings Scheme	Lump sum	1 to MAX	FIXED	1.5	1.45	1.3	1.3	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.5	1.45	1.3	1.3	1.1	1.1	NO	Full	100	365

Equity	Large Cap Fund	Kotak Bluechip Fund	Lump sum	1 to MAX	FIXED	1.75	1.4	1.4	1.25	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.75	1.4	1.4	1.25	1	1	NO	Full	100	365
Equity	Equity	Kotak Pioneer Fund	Lump sum	1 to MAX	FIXED	1.15	1.35	1.35	1.35	1.3	1.3	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.15	1.35	1.35	1.35	1.3	1.3	NO	Full	100	365
Equity	Large and Mid Cap Fund	Kotak Equity Opportunities Fund	Lump sum	1 to MAX	FIXED	1.65	1.35	1.35	1	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.65	1.35	1.35	1	1	1	NO	Full	100	365
Equity	Small Cap Fund	Kotak Small Cap Fund	Lump sum	1 to MAX	FIXED	1.15	1.35	1.35	1	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.15	1.35	1.35	1	1	1	NO	Full	100	365
Equity	MultiCap fund	Kotak Flexicap Fund	Lump sum	1 to MAX	FIXED	1.65	1.21	1.1	1.05	0.9	0.9	NO	Full	100	365

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						B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
Equity	MultiCap fund	Kotak Flexicap Fund	Systematic	1 to MAX	FIXED	1.65	1.21	1.1	1.05	0.9	0.9	NO	Full	100	365
Equity	Contra Fund	Kotak India EQ Contra Fund	Lump sum	1 to MAX	FIXED	1.75	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.75	1.15	1.15	1.15	1.15	1.15	1.15	NO	Full	100
Equity	Sectoral or Thematic Fund	Kotak Infrastructure & Economic Reform Fund	Lump sum	1 to MAX	FIXED	1.75	1.15	1.15	1.15	1.15	1.15	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.75	1.15	1.15	1.15	1.15	1.15	1.15	NO	Full	100
Equity	Mid Cap Fund	Kotak Emerging Equity	Lump sum	1 to MAX	FIXED	1.45	1.15	1.15	1.1	1.1	1.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.45	1.15	1.15	1.1	1.1	1.1	1.1	NO	Full	100

Equity	Arbitrage Fund	Kotak Arbitrage Fund	Lump sum	1 to MAX	FIXED	0	0.65	0.65	0.45	0.45	0.45	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.65	0.65	0.45	0.45	0.45	NO	Full	100	365
Equity	Equity	Kotak Nifty Next 50 Index Fund	Lump sum	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO	Full	100	365
Equity	Equity	Kotak Nifty 50 Index Fund	Lump sum	1 to MAX	FIXED	0	0.32	0.32	0.32	0.32	0.32	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.32	0.32	0.32	0.32	0.32	NO	Full	100	365
Hybrid	Conservative Hybrid Fund	Kotak Debt Hybrid	Lump sum	1 to MAX	FIXED	0.55	1.5	1.5	1.25	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.55	1.5	1.5	1.25	1	1	NO	Full	100	365
Hybrid	Dynamic Asset Allocation fund	Kotak Balanced Advantage Fund	Lump sum	1 to MAX	FIXED	1.25	1.35	1.35	1	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.25	1.35	1.35	1	1	1	NO	Full	100	365
Hybrid	Balanced Hybrid Fund	Kotak Equity Hybrid	Lump sum	1 to MAX	FIXED	1.25	1.2	1.2	1.2	1.2	1.2	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.25	1.2	1.2	1.2	1.2	1.2	NO	Full	100	365
Debt	Medium to Long Term Duration Fund	Kotak Bond Fund	Lump sum	1 to MAX	FIXED	0.42	1.05	1.05	1.05	1.05	1.05	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.42	1.05	1.05	1.05	1.05	1.05	NO	Full	100	365

Class	SEBI Scheme categorization	Scheme	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc Trailer Fee Clawback		
						B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
Debt	Gilt Fund	Kotak Gilt Investments Fund	Lump sum	1 to MAX	FIXED	0.43	1	1	1	1	1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.43	1	1	1	1	1	NO	Full	100	365
Debt	Medium Duration Fund	Kotak Medium Term Fund	Lump sum	1 to MAX	FIXED	1.35	0.95	0.95	0.95	0.95	0.95	NO	Full	100	365

			Systematic	1 to MAX	FIXED	1.35	0.95	0.95	0.95	0.95	0.95	NO	Full	100	365
Debt	Credit Risk Fund	Kotak Credit Risk Fund	Lump sum	1 to MAX	FIXED	1.75	0.9	0.9	0.9	0.9	0.9	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.75	0.9	0.9	0.9	0.9	0.9	0.9	NO	Full	100
Debt	Short Duration Fund	Kotak Bond Short Term Fund	Lump sum	1 to MAX	FIXED	0.25	0.85	0.8	0.8	0.8	0.8	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.25	0.85	0.8	0.8	0.8	0.8	0.8	NO	Full	100
Debt	Dynamic Bond	Kotak Dynamic Bond Fund	Lump sum	1 to MAX	FIXED	0.45	0.8	0.75	0.75	0.75	0.75	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.45	0.8	0.75	0.75	0.75	0.75	0.75	NO	Full	100
Debt	Low duration Fund	Kotak Low Duration Fund	Lump sum	1 to MAX	FIXED	0.2	0.7	0.7	0.7	0.7	0.7	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.2	0.7	0.7	0.7	0.7	0.7	0.7	NO	Full	100
Debt	Ultra short Duration Fund	Kotak Savings Fund	Lump sum	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.5	0.5	0.5	0.5	0.5	0.5	NO	Full	100
Debt	Corporate Bond Fund	Kotak Corporate Bond Fund	Lump sum	1 to MAX	FIXED	0.4	0.45	0.45	0.45	0.45	0.45	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.4	0.45	0.45	0.45	0.45	0.45	0.45	NO	Full	100
Debt	Debt	Kotak Floating Rate Fund	Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	0.4	NO	Full	100
Debt	Banking and PSU Fund	Kotak Banking and PSU Debt Fund	Lump sum	1 to MAX	FIXED	0.55	0.2	0.2	0.2	0.2	0.2	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.55	0.2	0.2	0.2	0.2	0.2	0.2	NO	Full	100
Debt	Debt	Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index Fund	Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365

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						B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
Debt	Debt	Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index Fund	Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
Debt	Debt	Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index Fund	Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	0.15	NO	Full	100
Debt	Money Market scheme	Kotak Money Market Scheme	Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	0.1	NO	Full	100
Debt	Overnight Fund	Kotak Overnight Fund	Lump sum	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.1	0.1	0.1	0.1	0.1	0.1	NO	Full	100
Debt	Liquid Fund	Kotak Liquid Fund	Lump sum	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.08	0.08	0.08	0.08	0.08	0.08	NO	Full	100
FOF	FOF	Kotak Global Innovation Fund of Fund	Lump sum	1 to MAX	FIXED	0.8	1.15	1.15	1.15	0.95	0.95	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.8	1.15	1.15	1.15	0.95	0.95	0.95	NO	Full	100
FOF	FOF	Kotak International REIT FOF	Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.7	0.7	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.7	0.7	0.7	NO	Full	100
FOF	Fund of Fund	Kotak Multi Asset Allocator Fund of Fund - Dynamic	Lump sum	1 to MAX	FIXED	0.4	0.8	0.8	0.8	0.65	0.65	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.4	0.8	0.8	0.8	0.65	0.65	0.65	NO	Full	100
FOF	FOF	Kotak NASDAQ 100 Fund of Fund	Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	0.4	NO	Full	100
FOF	Fund of Fund	Kotak Global Emerging Fund	Lump sum	1 to MAX	FIXED	1.75	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365
			Systematic	1 to MAX	FIXED	1.75	0.35	0.35	0.35	0.35	0.35	0.35	NO	Full	100

FOF	Fund of Fund	Kotak Gold Fund	Lump sum	1 to MAX	FIXED	0.5	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365
			Systematic	1 to MAX	FIXED	0.5	0.35	0.35	0.35	0.35	0.35	NO	Full	100	365

