

TATA MUTUAL FUND

Q2 FY 2022-23 Brokerage Structure

Scheme Name	Exit Load	T - 30 & B - 30			(B-30) Special Incentive 1st Year Trail (apm)
		Trail 1st Year	Trail 2nd Year	3rd Year onwards	
Solutions					
Tata Retirement Savings Fund -PP	Refer SID	1.20%	1.20%	1.20%	2.00%
Tata Retirement Savings Fund -MP	Refer SID	1.10%	1.10%	1.10%	1.50%
Tata Retirement Savings Fund -CP	Refer SID	1.05%	1.05%	1.05%	1.50%
Tata Young Citizens Fund	Refer SID	1.05%	1.05%	1.05%	1.50%
ELSS					
Tata India Tax Savings Fund	Refer SID	1.05%	1.05%	1.05%	1.00%
Equity Funds					
Tata Small Cap Fund	1% - 12 months	1.35%	1.35%	1.35%	1.50%
Tata Mid Cap Growth Fund	1% - 365 Days	1.00%	1.00%	1.00%	2.00%
Tata Ethical Fund	Refer SID	1.45%	1.45%	1.45%	1.50%
Tata FlexiCap Fund	1% - 12 months	1.50%	1.50%	1.50%	1.00%
Tata Equity P/E Fund	1% - 12 months	1.25%	1.25%	1.25%	1.05%
Tata Large & Mid Cap Fund	1% - 365 Days	1.40%	1.40%	1.40%	2.00%
Tata Large Cap Fund	1% - 365 Days	0.70%	0.70%	0.70%	2.00%
Tata Hybrid Equity Fund	1% - 365 Days	1.15%	1.15%	1.15%	2.00%
Tata Balanced Advantage Fund	Refer SID	1.60%	1.60%	1.60%	0.75%
Tata Focused Equity Fund	Refer SID	1.50%	1.50%	1.50%	0.50%
Tata Quant Fund	Refer SID	0.85%	0.85%	0.85%	0.00%
Tata Multiasset Opportunities Fund	1% - 365 Days	1.50%	1.50%	1.50%	1.00%
Tata Dividend Yield Fund	Refer SID	1.50%	1.50%	1.50%	0.25%
Tata Business Cycle Fund	Refer SID	1.85%	1.50%	1.20%	0.50%
Tata Equity Savings Fund	0.25% - 90 Days	0.55%	0.55%	0.55%	1.50%
Tata Banking & Financial Services Fund	Refer SID	1.40%	1.40%	1.40%	1.50%
Tata Digital India Fund	Refer SID	1.40%	1.40%	1.40%	0.75%
Tata India Consumer Fund	Refer SID	0.90%	0.90%	0.90%	1.25%
Tata India Pharma & Health Care Fund	Refer SID	1.05%	1.05%	1.05%	1.10%
Tata Resources & Energy Fund	Refer SID	1.05%	1.05%	1.05%	1.00%
Tata Infrastructure Fund	Refer SID	1.05%	1.05%	1.05%	1.50%
Tata Nifty India Digital ETF Fund of Fund	Refer SID	0.40%	0.40%	0.40%	0.00%
Arbitrage Fund					
Tata Arbitrage Fund	0.25% - 1 months	0.80%	0.80%	0.80%	
Index Funds					
TATA Index Fund - NIFTY A	0.25% - 7 Days	0.30%	0.30%	0.30%	
TATA Index Fund - SENSEX A	0.25% - 7 Days	0.30%	0.30%	0.30%	
Debt Funds					
Tata Short Term Bond Fund	NIL	0.80%	0.80%	0.80%	
Tata Dynamic Bond Fund	NIL	0.40%	0.40%	0.40%	
Tata Income Fund	NIL	0.90%	0.90%	0.90%	
Tata Medium Term Fund	Refer SID	1.00%	1.00%	1.00%	
Tata Banking & PSU Debt Fund	NIL	0.50%	0.50%	0.50%	
Tata Corporate Bond Fund	NIL	0.40%	0.30%	0.30%	
Tata Nifty SDL Plus AAA PSU Bond Dec 2027 60 40 Index Fund	Refer SID	0.15%	0.15%	0.15%	
Gift Funds					
Tata Gift Securities Fund	NIL	0.60%	0.60%	0.60%	
Ultra Short Funds					
Tata Treasury Advantage Fund	NIL	0.20%	0.20%	0.20%	
Tata Ultra Short Term Fund	NIL	0.75%	0.75%	0.75%	
Tata Overnight Fund	NIL	0.10%	0.10%	0.10%	
Tata Floating Rate Fund	NIL	0.50%	0.50%	0.50%	
Liquid Funds					



Tata Money Market Fund	NIL	0.15%	0.15%	0.15%	
Tata Liquid Fund	NIL	0.05%	0.05%	0.05%	

Terms & Conditions

1. Top-30 Locations (T-30) as provided by AMFI & Beyond-30 Locations (B-30) refers to all the Locations beyond the Top-30 Locations.
2. **Brokerage Structure for Top 30 & Beyond 30 Locations:**
 - (a) Top 30 locations - will be offered Trail commission as applicable.
 - Beyond 30 locations - would be offered Trail commission + Trail for B-30 as applicable.
3. The proposed brokerage structure is applicable for regular plan only. NO brokerage / Commission (Trail) will be payable on Direct Plans
4. **Trail :**
 - (a) First year trail commission is calculated from the date of the allotment till the end of the first year of investment or till the investor stays invested in the scheme, whichever is earlier and is calculated on pro-rata basis, by taking the average of net asset value during the period under consideration and on the outstanding units.
 - (b) Second year trail commission is calculated from date of completion of one year from the date of allotment till the completion of 2nd year. It is calculated on prorata basis, by taking average of net asset value during the period under consideration. Second year trail commission as applicable to the scheme is paid either perpetually till the investor stays invested in the scheme or for the specific period mentioned in the commission structure applicable to the scheme.
5. The commission rates are inclusive of all taxes, levies, statutory dues and Goods & Services tax (GST). If any tax is required to be deducted at source, the same will be deducted from the payment of the distributors.
6. TATA AMC may change the rates/periodicity etc of commission in case of change in regulations/Load Structure/expense ratio and any other factors which have an impact on such payments. Such Change would be applicable for remaining SIP/STP installments also.
7. Please read the latest SID and addendums carefully to confirm the scheme details.
8. Vide SEBI circular dated November 28th, 2002 and AMFI's subsequent circulars, intermediaries are not entitled to commission /incentive on their own investment. Commission/ incentive is not payable for investment made by sponsor(s) of TATA AMC.
9. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure strict compliance of the same.
10. The objective of the above structure is to reward genuine investments canvassed by distributors. Any misuse of the commission/reward structure should be discouraged and commission of such distributors found involved in any malpractice may be withheld.
11. TATA AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.
12. MFDs shall ensure compliance with AMFI / SEBI code of Conduct at all points of time.