

KOTAK MUTUAL FUND

Q2 FY 2020-21 Brokerage Structure

Brokerage Structure



Distributor : ARN-0019

for the Investment Period : 01-Jul-2020 to 30-Sep-2020

| Class | SEBI Scheme categorization | Scheme | Investment Period | Transaction Nature | Investment Amount | Trailer Fee | | | | | | B30 Incentive Trailer Fee Clawback | | |
|--------|----------------------------|---|----------------------------|----------------------------|-------------------|---------------|--------|--------|--------|--------|----------|------------------------------------|------|---------|
| | | | | | | B30 Incentive | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr | Type | % | Thia(D) |
| Equity | Large Cap Fund | Kotak Bluechip Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| Equity | Large and Mid Cap Fund | Kotak Equity Opportunities Fund | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 1.75 | 1.5 | 1.35 | 1.35 | 1.3 | 1.3 | | | |
| | | | | Systematic | 1 to MAX | 1.75 | 1.5 | 1.35 | 1.35 | 1.3 | 1.3 | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.65 | 1.4 | 1.35 | 1.35 | 1.3 | 1.3 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.65 | 1.4 | 1.35 | 1.35 | 1.3 | 1.3 | Full | 100 | 365 |
| Equity | MultiCap fund | Kotak Standard Multicap Fund | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 1.75 | 1.3 | 1.1 | 1 | 1 | 1 | | | |
| | | | | Systematic | 1 to MAX | 1.75 | 1.3 | 1.1 | 1 | 1 | 1 | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1.2 | 1.1 | 1 | 1 | 1 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.2 | 1.1 | 1 | 1 | 1 | Full | 100 | 365 |
| Equity | Mid Cap Fund | Kotak Emerging Equity | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 1.5 | 1.45 | 1.3 | 1.3 | 1.2 | 1.2 | | | |
| | | | | Systematic | 1 to MAX | 1.5 | 1.45 | 1.3 | 1.3 | 1.2 | 1.2 | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.5 | 1.35 | 1.3 | 1.3 | 1.2 | 1.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.5 | 1.35 | 1.3 | 1.3 | 1.2 | 1.2 | Full | 100 | 365 |
| Equity | Small Cap Fund | Kotak Small Cap Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| | Equity | Contra Fund | | Kotak India EQ Contra Fund | Systematic | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 |
| Equity | ELSS | Kotak Tax Saver Fund | | Lump sum | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| Equity | Sectoral or Thematic Fund | Kotak Infrastructure & Economic Reform Fund | | Lump sum | 1 to MAX | 1.75 | 1.4 | 1.3 | 1.3 | 1.3 | 1.3 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.4 | 1.3 | 1.3 | 1.3 | 1.3 | Full | 100 | 365 |
| Equity | Sectoral or Thematic Fund | Kotak Infrastructure & Economic Reform Fund | Lump sum | 1 to MAX | 1.75 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | Full | 100 | 365 | |

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|--------|-------------------------------|---|----------------------------|--------------------|-------------------|---------------|--------|--------|--------|--------|----------|------------------------------------|-----|---------|
| | | | | | | B30 Incentive | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr | Type | % | Thia(D) |
| Equity | Sectoral or Thematic Fund | Kotak Infrastructure & Economic Reform Fund | 01-Jul-2020 to 30-Sep-2020 | Systematic | 1 to MAX | 1.75 | 1.15 | 1.15 | 1.15 | 1.15 | 1.15 | Full | 100 | 365 |
| Equity | Equity Savings | Kotak Equity Savings Scheme | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 1.75 | 1.6 | 1.3 | 1.3 | 1.2 | 1.2 | | | |
| | | | | Systematic | 1 to MAX | 1.75 | 1.6 | 1.3 | 1.3 | 1.2 | 1.2 | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.5 | 1.5 | 1.3 | 1.3 | 1.2 | 1.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.5 | 1.5 | 1.3 | 1.3 | 1.2 | 1.2 | Full | 100 | 365 |
| Equity | Arbitrage Fund | Kotak Arbitrage Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 0 | 0.5 | 0.5 | 0.45 | 0.45 | 0.45 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.5 | 0.5 | 0.45 | 0.45 | 0.45 | Full | 100 | 365 |
| Hybrid | Balanced Hybrid Fund | Kotak Equity Hybrid | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.2 | 1.2 | 1.2 | 1.2 | 1.2 | Full | 100 | 365 |
| Hybrid | Dynamic Asset Allocation fund | Kotak Balanced Advantage Fund | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 1.75 | 1.55 | 1.45 | 1.4 | 1.25 | 1.25 | | | |
| | | | | Systematic | 1 to MAX | 1.75 | 1.55 | 1.45 | 1.4 | 1.25 | 1.25 | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.7 | 1.45 | 1.45 | 1.4 | 1.25 | 1.25 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.7 | 1.45 | 1.45 | 1.4 | 1.25 | 1.25 | Full | 100 | 365 |
| Hybrid | Conservative Hybrid Fund | Kotak Debt Hybrid | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | Full | 100 | 365 |
| FOF | Fund of Fund | Kotak Asset Allocator Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |
| FOF | Fund of Fund | Kotak Global Emerging Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |
| FOF | Fund of Fund | Kotak Gold Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.5 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.5 | 0.35 | 0.35 | 0.35 | 0.35 | 0.35 | Full | 100 | 365 |

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|-------|-----------------------------------|---------------------------------|----------------------------|--------------------|-------------------|---------------|--------|--------|--------|--------|------------------------------------|------|-----|---------|
| | | | | | | B30 Incentive | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr | Type | % | Thld(D) |
| Debt | Gilt Fund | Kotak Gilt Investments Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 1.75 | 1 | 1 | 1 | 1 | 1 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1 | 1 | 1 | 1 | 1 | Full | 100 | 365 |
| Debt | Medium to Long Term Duration Fund | Kotak Bond Fund | | Lump sum | 1 to MAX | 1.75 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.05 | 1.05 | 1.05 | 1.05 | 1.05 | Full | 100 | 365 |
| Debt | Dynamic Bond | Kotak Dynamic Bond Fund | | Lump sum | 1 to MAX | 0 | 0.65 | 0.65 | 0.65 | 0.65 | 0.65 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.65 | 0.65 | 0.65 | 0.65 | 0.65 | Full | 100 | 365 |
| Debt | Medium Duration Fund | Kotak Medium Term Fund | | Lump sum | 1 to MAX | 1.75 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1.1 | 1.1 | 1.1 | 1.1 | 1.1 | Full | 100 | 365 |
| Debt | Credit Risk Fund | Kotak Credit Risk Fund | | Lump sum | 1 to MAX | 1.75 | 1 | 1 | 1 | 1 | 1 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 1.75 | 1 | 1 | 1 | 1 | 1 | Full | 100 | 365 |
| Debt | Banking and PSU Fund | Kotak Banking and PSU Debt Fund | | Lump sum | 1 to MAX | 0 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.2 | 0.2 | 0.2 | 0.2 | 0.2 | Full | 100 | 365 |
| Debt | Short Duration Fund | Kotak Bond Short Term Fund | | Lump sum | 1 to MAX | 0 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.75 | 0.75 | 0.75 | 0.75 | 0.75 | Full | 100 | 365 |
| Debt | Low duration Fund | Kotak Low Duration Fund | | Lump sum | 1 to MAX | 0 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.7 | 0.7 | 0.7 | 0.7 | 0.7 | Full | 100 | 365 |
| Debt | Corporate Bond Fund | Kotak Corporate Bond Fund | | Lump sum | 1 to MAX | 0 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.25 | 0.25 | 0.25 | 0.25 | 0.25 | Full | 100 | 365 |
| Debt | Ultra short Duration Fund | Kotak Savings Fund | | Lump sum | 1 to MAX | 0 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | Full | 100 | 365 |
| Debt | Money Market scheme | Kotak Money Market Scheme | Lump sum | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |

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|--------|----------------------------|---------------------------|----------------------------|--------------------|-------------------|---------------|--------|--------|--------|--------|------------------------------------|------|------|---------|-----|
| | | | | | | B30 Incentive | 1st Yr | 2nd Yr | 3rd Yr | 4th Yr | >=5th Yr | Type | % | Thld(D) | |
| Debt | Money Market scheme | Kotak Money Market Scheme | 01-Jul-2020 to 30-Sep-2020 | Systematic | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |
| Debt | Liquid Fund | Kotak Liquid Fund | | Lump sum | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |
| | | | | Systematic | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |
| Debt | Overnight Fund | Kotak Overnight Fund | | Lump sum | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |
| | | | | Systematic | 1 to MAX | 0 | 0.03 | 0.03 | 0.03 | 0.03 | 0.03 | Full | 100 | 365 | |
| Debt | Debt | Kotak Floating Rate Fund | | Lump sum | 1 to MAX | 0 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | Full | 100 | 365 | |
| | | | Systematic | 1 to MAX | 0 | 0.4 | 0.4 | 0.4 | 0.4 | 0.4 | Full | 100 | 365 | | |
| Equity | Equity | Kotak Focused Equity Fund | 01-Jul-2020 to 31-Jul-2020 | Lump sum | 1 to MAX | 0.9 | 1.7 | 1.5 | 1.4 | 1.4 | 1.4 | | | | |
| | | | | Systematic | 1 to MAX | 0.9 | 1.7 | 1.5 | 1.4 | 1.4 | 1.4 | | | | |
| | | | 01-Aug-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 0.9 | 1.6 | 1.5 | 1.4 | 1.4 | 1.4 | 1.4 | Full | 100 | 365 |
| | | | | Systematic | 1 to MAX | 0.9 | 1.6 | 1.5 | 1.4 | 1.4 | 1.4 | 1.4 | Full | 100 | 365 |
| Equity | Equity | Kotak Pioneer Fund | 01-Jul-2020 to 30-Sep-2020 | Lump sum | 1 to MAX | 0.9 | 1.35 | 1.35 | 1.35 | 1.3 | 1.3 | Full | 100 | 365 | |
| | | | | Systematic | 1 to MAX | 0.9 | 1.35 | 1.35 | 1.35 | 1.3 | 1.3 | Full | 100 | 365 | |