

## Q1 FY 2022-23 Brokerage Structure

# NIPPON INDIA MUTUAL FUND

| (Lumpsum & SIP Investments) |  |           |                          |                     |                                 |  |
|-----------------------------|--|-----------|--------------------------|---------------------|---------------------------------|--|
| Proposed Category           | Scheme Name                                    | Exit Load | Claw Back Period for a,b | 1st Yr. Trail (p.a) | 2nd Yr. Onwards Trail (p.a)     | Annual Retention Incentive for B-30 Locations(b) - in Trail (p.a.) |
| <b>Equity</b>               |  |           |                          |                     |                                 |  |
| Large Cap                   | Nippon India Large Cap Fund                    | 7 Days    | 12 months                | 1.30%               | 1.25%<br>(1.00 4th Yr onwards)  | 1.50%  |
| Large & Mid Cap             | Nippon India VISION FUND                       | 12 Months | 12 months                | 0.85%               | 0.85%                           | 1.50%  |
| Multi Cap                   | Nippon India Multi Cap Fund                    | 12 Months | 12 months                | 1.00%               | 1.00%                           | 1.50%  |
| Focused Fund - Multi Cap    | NIPPON INDIA FOCUSED EQUITY FUND               | 12 Months | 12 months                | 0.85%               | 0.85%                           | 1.75%  |
| Mid Cap                     | Nippon India GROWTH FUND                       | 1 Month   | 12 months                | 1.10%               | 1.10%                           | 1.50%  |
| Flexi Cap                   | NIPPON INDIA FLEXI CAP FUND                    | 12 Months | 12 Months                | 1.50%               | 1.50%<br>(1.20% 3rd Yr onwards) | 1.50%  |
| Small Cap                   | Nippon India SMALL CAP FUND                    | 1 Month   | 12 months                | 1.20%               | 1.20%                           | 1.75%  |
| Value Fund                  | Nippon India Value Fund                        | 12 Months | 12 months                | 1.50%               | 1.50%<br>(1.20% 3rd Yr onwards) | 1.50%  |
| Sectoral                    | NIPPON INDIA BANKING & FINANCIAL SERVICES FUND | 1 Month   | 12 months                | 0.85%               | 0.85%                           | 1.50%  |
|                             | Nippon India PHARMA FUND                       | 1 Month   | 12 months                | 1.00%               | 1.00%                           | 1.50%  |
| Thematic                    | Nippon India Consumption Fund                  | 1 Month   | 12 months                | 0.90%               | 0.90%                           | 1.50%  |
|                             | Nippon India Power & Infra Fund                | 1 Month   | 12 months                | 0.85%               | 0.85%                           | 1.50%  |

|                        |   |              |              |       |                             |       |
|------------------------|---|--------------|--------------|-------|-----------------------------|-------|
|                        | NIPPON INDIA - JAPAN EQUITY FUND                        | 12 Months    | 12 months    | 0.80% | 0.80%                       | 1.50% |
|                        | NIPPON INDIA - US EQUITY OPPORTUNITES FUND              | 12 Months    | 12 months    | 0.80% | 0.80%                       | 1.50% |
|                        | NIPPON INDIA TAIWAN EQUITY FUND                         | 12 Months    | 12 Months    | 1.35% | 1.35%                       | 1.50% |
|                        | Nippon India Quant Fund                                 | 1 month      | 12 months    | 0.65% | 0.65%                       | 1.50% |
| <b>Hybrid</b>          |   |              |              |       |                             |       |
| Conservative Hybrid    | Nippon India Hybrid Bond Fund                           | 12 Months    | 12 months    | 1.15% | 1.15%                       | 1.00% |
| Aggressive Hybrid      | Nippon India Equity Hybrid Fund                         | 12 Months    | 12 months    | 1.00% | 1.00%                       | 1.50% |
| Multi Asset            | Nippon India Multi Asset Fund                           | 12 Months    | 12 months    | 1.50% | 1.5% (1.40 3rd Yr onwards)  | 1.50% |
| Equity Savings         | Nippon India EQUITY SAVINGS FUND                        | 12 Months    | 12 months    | 1.00% | 1.00%                       | 1.50% |
| Balanced Advantage     | Nippon India Balanced Advantage Fund                    | 12 Months    | 12 months    | 1.55% | 1.5% (1.25 4th Yr onwards)  | 1.50% |
| <b>Goal Based</b>      |   |              |              |       |                             |       |
| ELSS                   | NIPPON INDIA TAX SAVER (ELSS) FUND                      | 3 yr lock in | 3 yr lock in | 0.90% | 0.90%                       | 1.75% |
| Retirement             | NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME   | 5 yr lock in | 5 yr lock in | 1.60% | 1.55% (1.25 4th Yr onwards) | 1.50% |
|                        | NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME | 5 yr lock in | 5 yr lock in | 1.60% | 1.55% (1.25 4th Yr onwards) | 1.50% |
| <b>Index &amp; FOF</b> |   |              |              |       |                             |       |
| Index                  | Nippon India INDEX FUND - NIFTY PLAN                    | 7 days       | No Clawback  | 0.80% | 0.75%                       | 0.00% |
|                        | NIPPON INDIA NIFTY 50 VALUE 20 INDEX FUND               | NIL          | No Clawback  | 0.50% | 0.50%                       | 0.00% |

|                     |   |           |             |       |       |       |
|---------------------|---|-----------|-------------|-------|-------|-------|
|                     | NIPPON INDIA NIFTY MIDCAP 150 INDEX FUND          | NIL       | No Clawback | 0.50% | 0.50% | 0.00% |
|                     | NIPPON INDIA NIFTY SMALL CAP 250 INDEX FUND       | 7 days    | No Clawback | 0.80% | 0.80% | 0.00% |
|                     | Nippon India INDEX FUND - SENSEX PLAN             | 7 days    | No Clawback | 0.17% | 0.15% | 0.00% |
| FOF (Gold & Silver) | NIPPON INDIA SILVER ETF FOF                       | 15 Days   | 12 Months   | 0.30% | 0.30% | 0.20% |
| FOF                 | Nippon India GOLD SAVINGS FUND                    | 12 Months | No Clawback | 0.25% | 0.25% | 0.00% |
|                     | NIPPON INDIA PASSIVE FLEXICAP FoF                 | NIL       | 12 Months   | 0.40% | 0.40% | 1.00% |
|                     | NIPPON INDIA ASSET ALLOCATOR FoF                  | 12 Months | No Clawback | 0.60% | 0.60% | 0.00% |
|                     | Nippon India Junior BeES FoF                      | NIL       | No Clawback | 0.19% | 0.15% | 0.00% |
| Index               | NIPPON INDIA NIFTY AAA CPSE BOND PLUS SDL - APR27 | NIL       | No Clawback | 0.15% | 0.15% | 0.00% |
| <b>Debt</b>         |   |           |             |       |       |       |
| Low Duration Fund   | Nippon India Low Duration Fund                    | NIL       | No Clawback | 0.80% | 0.70% | 0.00% |
| Corporate Bond      | NIPPON INDIA CORPORATE BOND FUND                  | NIL       | No Clawback | 0.45% | 0.45% | 0.00% |
| Short Duration Fund | Nippon India SHORT TERM FUND                      | NIL       | No Clawback | 0.85% | 0.80% | 0.00% |
| Long Duration Fund  | Nippon India Nivesh Lakshya Fund                  | NIL       | No Clawback | 0.30% | 0.30% | 1.25% |
| Banking & PSU       | NIPPON INDIA BANKING & PSU DEBT FUND              | NIL       | No Clawback | 0.50% | 0.45% | 0.00% |
| Floater             | Nippon India Floating Rate Fund                   | 1 Month   | No Clawback | 0.35% | 0.35% | 0.00% |
| Credit Risk         | Nippon India Credit Risk Fund                     | 12 Months | 12 months   | 0.85% | 0.85% | 0.50% |
| Medium Duration     | Nippon India Strategic Debt Fund                  | 12 Months | No Clawback | 0.85% | 0.85% | 0.00% |

|                            |  |         |             |       |       |       |
|----------------------------|--|---------|-------------|-------|-------|-------|
| Dynamic Bond               | Nippon India DYNAMIC BOND FUND         | NIL     | No Clawback | 0.45% | 0.40% | 1.25% |
| Medium & Long Duration     | Nippon India INCOME FUND               | NIL     | No Clawback | 1.10% | 0.90% | 1.25% |
| Gilt                       | Nippon India GILT SECURITIES FUND      | NIL     | No Clawback | 0.90% | 0.90% | 0.00% |
| <b>Arbitrage</b>           |  |         |             |       |       |       |
| Arbitrage                  | Nippon India Arbitrage Fund            | 1 month | No Clawback | 0.80% | 0.70% | 0.00% |
| <b>Liquid/Ultra Liquid</b> |  |         |             |       |       |       |
| Liquid Fund                | Nippon India Liquid Fund               | NIL     | No Clawback | 0.15% | 0.10% | 0.00% |
| Liquid Fund                | Nippon India Overnight Fund            | NIL     | No Clawback | 0.10% | 0.07% | 0.00% |
| Money Market               | Nippon India Money Market Fund         | NIL     | No Clawback | 0.15% | 0.15% | 0.00% |
| Ultra Short Duration       | Nippon India Ultra Short Duration Fund | NIL     | No Clawback | 0.80% | 0.80% | 0.00% |