

## Q4 FY 2020-21 Brokerage Structure

Year Year onwards 1st Year 1st	T - 30 & B - 30									
Tata Retirement Savings Fund -PP         Refer SID         1.20%         1.20%         1.20%         1.50%           Tata Retirement Savings Fund -MP         Refer SID         1.10%         1.10%         1.50%         1.50%           Tata Retirement Savings Fund -CP         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Young Citizens Fund         Refer SID         1.05%         1.05%         1.05%         1.05%         1.05%           ELSS           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Equity Funds           Tata Small Cap Fund         1% - 12         1.35%         1.35%         1.35%         1.50%           Tata Small Cap Growth Fund         1% - 365         1.00%         1.00%         1.00%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%           Tata Hulticap Fund         1% - 12         1.50%         1.50%         1.50%         1.50%           Tata Large & Mid Cap Fund         1% - 12         1.25%         1.25%         1.25%         1.50%           Tata Hybrid Equity Fund         1% - 365         1.40%	Scheme Name	Exit Load				(B-30) Special Incentive 1st Year Trail (apm)				
Tata Retirement Savings Fund -MP         Refer SID         1.10%         1.10%         1.50%           Tata Retirement Savings Fund -CP         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Young Citizens Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           ELSS           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Equity Funds           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.50%         1.50%           Equity Funds         1% - 12         1.35%         1.35%         1.35%         1.50%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.45%         1.45%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12         1.25%         1.25%         1.25%         1.25% </td <td colspan="10"></td>										
Tata Retirement Savings Fund -CP         Refer SID         1.05%         1.05%         1.05%         1.05%           Tata Young Citizens Fund         Refer SID         1.05%         1.05%         1.05%         1.05%           ELSS           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Equity Funds           Tata Small Cap Fund         1% - 12         1.35%         1.35%         1.35%         1.50%           Tata Mid Cap Growth Fund         1% - 12         1.35%         1.00%         1.00%         1.00%         1.50%           Tata Mid Cap Growth Fund         1% - 365         1.00%         1.00%         1.50%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12         1.50%         1.50%         1.50%         1.50%           Tata Large & Mid Cap Fund         1% - 12         1.25%         1.25%         1.25%         1.50%           Tata Large Cap Fund         1% - 365         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365         1.15%	Tata Retirement Savings Fund -PP	Refer SID	1.20%		1.20%	1.50%				
Tata Young Citizens Fund   Refer SID   1.05%   1.05%   1.05%   1.50%   ELSS	Tata Retirement Savings Fund -MP	Refer SID	1.10%	1.10%	1.10%	1.50%				
ELSS           Tata India Tax Savings Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Equity Funds           Tata Small Cap Fund         1% - 12         1.35%         1.35%         1.35%         1.50%           Tata Mid Cap Growth Fund         1% - 365         1.00%         1.00%         1.00%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12         1.50%         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365         0.70%         0.70%         1.50%         1.50%           Tata Large Cap Fund         1% - 365         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.	Tata Retirement Savings Fund -CP	Refer SID	1.05%	1.05%	1.05%	1.50%				
Tata India Tax Savings Fund	Tata Young Citizens Fund	Refer SID	1.05%	1.05%	1.05%	1.50%				
Equity Funds           Tata Small Cap Fund         1% - 12 months         1.35%         1.35%         1.50%           Tata Mid Cap Growth Fund         1% - 365 Days         1.00%         1.00%         1.00%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12 months         1.50%         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365         1.75%         1.75%         1.50%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fu										
Tata Small Cap Fund         1% - 12 months         1.35%         1.35%         1.35%         1.50%           Tata Mid Cap Growth Fund         1% - 365 Days         1.00%         1.00%         1.00%         1.50%           Tata Ethical Fund         Refer SID         1.45%         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12 months         1.50%         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.50%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Equity Savings Fund <t< td=""><td>Tata India Tax Savings Fund</td><td>Refer SID</td><td>1.05%</td><td>1.05%</td><td>1.05%</td><td>1.50%</td></t<>	Tata India Tax Savings Fund	Refer SID	1.05%	1.05%	1.05%	1.50%				
Months										
Tata Ethical Fund         Refer SID         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12 months         1.50%         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.50%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         R	Tata Small Cap Fund		1.35%	1.35%	1.35%	1.50%				
Tata Ethical Fund         Refer SID         1.45%         1.45%         1.50%           Tata Multicap Fund         1% - 12 months         1.50%         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.50%         1.50%           Tata Banking & Financial Services Fund	Tata Mid Cap Growth Fund		1.00%	1.00%	1.00%	1.50%				
Tata Multicap Fund         1% - 12 months         1.50%         1.50%         1.50%           Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.40%         1.50%           Tat	Tata Ethical Fund		1.45%	1.45%	1.45%	1.50%				
Tata Equity P/E Fund         1% - 12 months         1.25%         1.25%         1.50%           Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.50%         1.50%           Tata Banking & Financial Services Fund Pata India Fund         Refer SID         1.40%         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         0.90%         0.90%		1% - 12								
Tata Large & Mid Cap Fund         1% - 365 Days         1.40%         1.40%         1.40%         1.50%           Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.5	Tata Equity P/E Fund	1% - 12	1.25%	1.25%	1.25%	1.50%				
Tata Large Cap Fund         1% - 365 Days         0.70%         0.70%         0.70%         1.50%           Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         0.90%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Large & Mid Cap Fund	1% - 365	1.40%	1.40%	1.40%	1.50%				
Tata Hybrid Equity Fund         1% - 365 Days         1.15%         1.15%         1.15%         1.50%           Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund Pata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Large Cap Fund	1% - 365	0.70%	0.70%	0.70%	1.50%				
Tata Balanced Advantage Fund         1% - 365 Days         1.75%         1.75%         1.75%         1.50%           Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Hybrid Equity Fund	1% - 365	1.15%	1.15%	1.15%	1.50%				
Tata Focused Equity Fund         Refer SID         1.50%         1.50%         1.50%           Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365 Days         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Balanced Advantage Fund	1% - 365	1.75%	1.75%	1.75%	1.50%				
Tata Quant Fund         Refer SID         0.85%         0.85%         0.85%         1.50%           Tata Multiasset Opportunities Fund         1% - 365         1.50%         1.50%         1.50%         1.50%           Tata Equity Savings Fund         0.25% - 90         1.05%         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Focused Equity Fund		1.50%	1.50%	1.50%	1.50%				
Days         1.05%         1.05%         1.50%           Tata Equity Savings Fund         0.25% - 90 Days         1.05%         1.05%         1.50%           Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%		Refer SID	0.85%	0.85%	0.85%	1.50%				
Tata Equity Savings Fund       0.25% - 90 Days       1.05%       1.05%       1.05%       1.50%         Tata Banking & Financial Services Fund       Refer SID       1.40%       1.40%       1.40%       1.50%         Tata Digital India Fund       Refer SID       1.40%       1.40%       1.40%       1.50%         Tata India Consumer Fund       Refer SID       0.90%       0.90%       0.90%       1.50%         Tata India Pharma & Health Care Fund       Refer SID       1.05%       1.05%       1.05%       1.50%         Tata Resources & Energy Fund       Refer SID       1.05%       1.05%       1.05%       1.50%	Tata Multiasset Opportunities Fund		1.50%	1.50%	1.50%	1.50%				
Tata Banking & Financial Services Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Equity Savings Fund	0.25% - 90	1.05%	1.05%	1.05%	1.50%				
Tata Digital India Fund         Refer SID         1.40%         1.40%         1.40%         1.50%           Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	Tata Banking & Financial Services Fund	<del></del>	1.40%	1.40%	1.40%	1.50%				
Tata India Consumer Fund         Refer SID         0.90%         0.90%         0.90%         1.50%           Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%         1.50%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%         1.50%	-									
Tata India Pharma & Health Care Fund         Refer SID         1.05%         1.05%         1.05%           Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%										
Tata Resources & Energy Fund         Refer SID         1.05%         1.05%         1.05%										
				<b>.</b>						
Arbitrage Fund										
Tata Arbitrage Fund 0.25% - 1 0.45% 0.45% 0.45% months	Tata Arbitrage Fund		0.45%	0.45%	0.45%					
Index Funds										

0.25% - 7	0.30%	0.30%	0.30%					
Days								
0.25% - 7	0.30%	0.30%	0.30%					
Days								
Debt Funds								
NIL	0.80%	0.80%	0.80%					
0.50% - 30	0.90%	0.90%	0.90%					
Days								
1.00% -	0.90%	0.90%	0.90%					
365 Days								
Refer SID	1.00%	1.00%	1.00%					
NIL	0.50%	0.50%	0.50%					
Tata Banking & PSU Debt Fund   NIL   0.50%   0.50%   0.50%    Gilt Funds								
NIL	0.60%	0.60%	0.60%					
Ultra Short Funds								
NIL	0.20%	0.20%	0.20%					
NIL	0.70%	0.70%	0.70%					
NIL	0.10%	0.10%	0.10%					
Liquid Funds								
NIL	0.15%	0.15%	0.15%					
NIL	0.05%	0.05%	0.05%					
	Days 0.25% - 7 Days  Debt Fun NIL 0.50% - 30 Days 1.00% - 365 Days Refer SID NIL Gilt Func NIL Ultra Short F NIL NIL NIL NIL Liquid Fun NIL	Days         0.25% - 7       0.30%         Days       0.80%         Debt Funds         NIL       0.80%         0.50% - 30       0.90%         Days       0.90%         1.00% - 0.90%       0.90%         365 Days       Refer SID         Refer SID       1.00%         NIL       0.50%         Gilt Funds         NIL       0.20%         NIL       0.70%         NIL       0.10%         Liquid Funds         NIL       0.15%	Days       0.25% - 7       0.30%       0.30%         Debt Funds         NIL       0.80%       0.80%         0.50% - 30       0.90%       0.90%         Days       0.90%       0.90%         1.00% - 0.90%       0.90%       0.90%         365 Days       0.50%       0.50%         Refer SID       1.00%       1.00%         NIL       0.50%       0.50%         Gilt Funds         NIL       0.20%       0.20%         NIL       0.70%       0.70%         NIL       0.10%       0.10%         Liquid Funds         NIL       0.15%       0.15%					