

# Q4 FY 2020-21 Brokerage Structure



| Scheme Name                            | 1st Year Trail (A) | 2nd Year Onwards Trail (B) | B-30 Additional Trail * (C) (Year 1 Only) | Claw Back Period for (C) |
|--|--------------------|----------------------------|---|--------------------------|
| <b>Equity and Index Schemes</b>        |                    |                            |   |                          |
| UTI Equity Fund                        | 1.25%              | 1.20%                      | 1.50%                                     | 12 Months                |
| UTI Mastershare Unit Scheme            | 1.30%              | 1.20%                      | 1.50%                                     | 12 Months                |
| UTI Value Opportunities Fund           | 1.30%              | 1.20%                      | 1.50%                                     | 12 Months                |
| UTI Mid Cap Fund                       | 1.35%              | 1.20%                      | 1.50%                                     | 12 Months                |
| UTI Dividend Yield Fund                | 1.40%              | 1.30%                      | 1.50%                                     | 12 Months                |
| UTI MNC Fund                           | 1.40%              | 1.35%                      | 1.50%                                     | 12 Months                |
| UTI Long Term Equity Fund (Tax Saving) | 1.40%              | 1.35%                      | 1.50%                                     | 3 yr lock in             |
| UTI Infrastructure Fund                | 1.45%              | 1.35%                      | 1.50%                                     | 12 Months                |
| UTI Core Equity Fund                   | 1.45%              | 1.40%                      | 1.50%                                     | 12 Months                |
| UTI Transportation & Logistics Fund    | 1.45%              | 1.40%                      | 1.50%                                     | 12 Months                |
| UTI India Consumer Fund                | 1.50%              | 1.40%                      | 1.50%                                     | 12 Months                |
| UTI Healthcare Fund                    | 1.50%              | 1.45%                      | 1.50%                                     | 12 Months                |
| UTI Banking & Financial Services Fund  | 1.50%              | 1.45%                      | 1.50%                                     | 12 Months                |
| UTI Nifty Index Fund                   | 0.04%              | 0.04%                      | NIL                                       | No Clawback              |
| UTI Nifty Next 50 Index Fund           | 0.50%              | 0.50%                      | NIL                                       | No Clawback              |
| UTI Small Cap Fund                     | 1.40%              | 1.30%                      | NIL                                       | No Clawback              |
| <b>Debt Category</b>                   |                    |                            |   |                          |
| UTI Overnight Fund                     | 0.05%              | 0.05%                      | NIL                                       | No Clawback              |
| UTI Liquid Cash Plan                   | 0.10%              | 0.10%                      | NIL                                       | No Clawback              |
| UTI Money Market Fund                  | 0.10%              | 0.10%                      | NIL                                       | No Clawback              |
| UTI Treasury Advantage Fund            | 0.25%              | 0.20%                      | NIL                                       | No Clawback              |
| UTI Banking & PSU Debt Fund            | 0.15%              | 0.10%                      | NIL                                       | No Clawback              |
| UTI Corporate Bond Fund                | 0.40%              | 0.35%                      | NIL                                       | No Clawback              |
| UTI Ultra Short Term Fund              | 0.75%              | 0.65%                      | NIL                                       | No Clawback              |
| UTI Short Term Income Fund             | 0.70%              | 0.65%                      | NIL                                       | No Clawback              |
| UTI Gilt Fund                          | 0.60%              | 0.55%                      | NIL                                       | No Clawback              |
| UTI Floater Fund                       | 1.00%              | 0.85%                      | NIL                                       | No Clawback              |
| UTI Medium Term Fund                   | 1.20%              | 1.00%                      | NIL                                       | No Clawback              |
| UTI Credit Risk Fund                   | 1.20%              | 1.00%                      | NIL                                       | No Clawback              |
| UTI Bond Fund                          | 0.00%              | 0.00%                      | NIL                                       | No Clawback              |
| UTI Dynamic Bond Fund                  | 0.00%              | 0.00%                      | NIL                                       | No Clawback              |
| <b>Hybrid and Solution Oriented</b>    |                    |                            |   |                          |
| UTI Arbitrage Fund                     | 0.65%              | 0.65%                      | NIL                                       | No Clawback              |

|  |       |       |       |             |
|--|-------|-------|-------|-------------|
| UTI Children's Career Fund - Savings Plan    | 1.05% | 1.05% | 1.50% | 12 Months   |
| UTI Equity Savings Fund                      | 1.10% | 1.10% | NIL   | No Clawback |
| UTI Retirement Benefit Pension Fund          | 1.10% | 1.10% | 1.50% | 12 Months   |
| UTI Unit Linked Insurance Plan               | 1.10% | 1.10% | 1.50% | 12 Months   |
| UTI Regular Savings Fund                     | 1.25% | 1.25% | NIL   | No Clawback |
| UTI Hybrid Equity Fund                       | 1.30% | 1.25% | 1.50% | 12 Months   |
| UTI Children's Career Fund - Investment Plan | 1.40% | 1.40% | 1.50% | 12 Months   |
| UTI Multi Asset Fund                         | 1.15% | 1.15% | 1.50% | 12 Months   |